

# On the Multi-Dimensional Augmentation of Fingerprint Data for Indoor Localization in a Large-Scale Building Complex Based on Multi-Output Gaussian Processes

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(with Zhe Tang, Sihao Li, and Jeremy Smith)

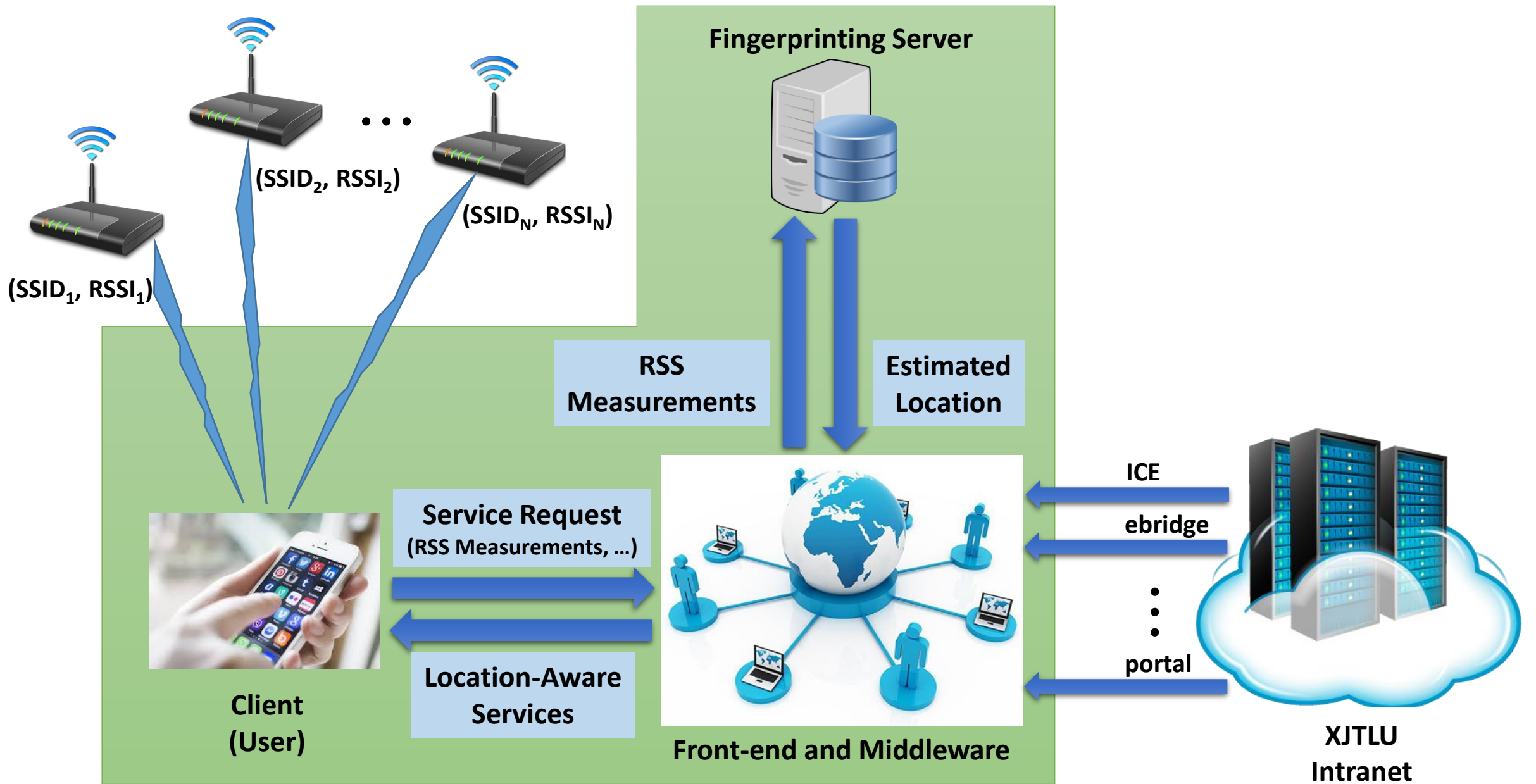
School of Advanced Technology  
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# Outline

- Large-Scale Multi-Building Multi-Floor Indoor Localization
- Wi-Fi Fingerprinting
- Multi-Dimensional Fingerprint Data Augmentation Based on MOGP
- Experimental Results
- Conclusions and Future Work

# Large-Scale Multi-Building Multi-Floor Indoor Localization

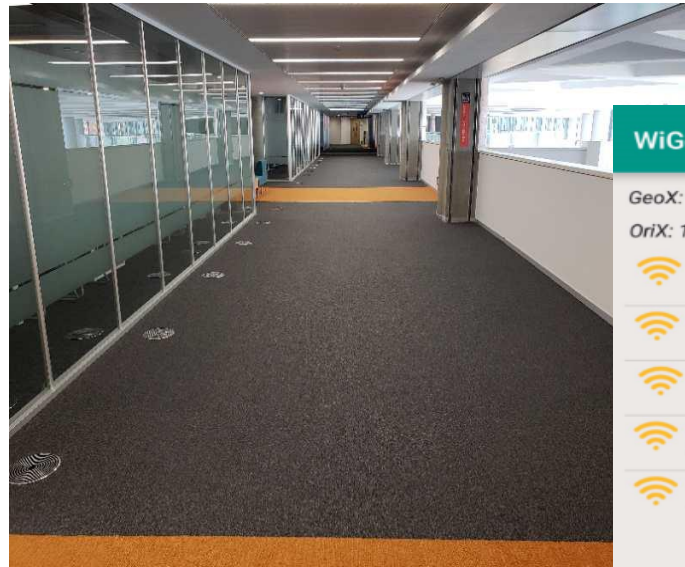


**XJTU Camus Information and Visitor Service System**

# Examples: Indoor Navigation and Location-Aware Service



# Multi-Floor Indoor Localization with RSSI/Geomagnetic Field\*

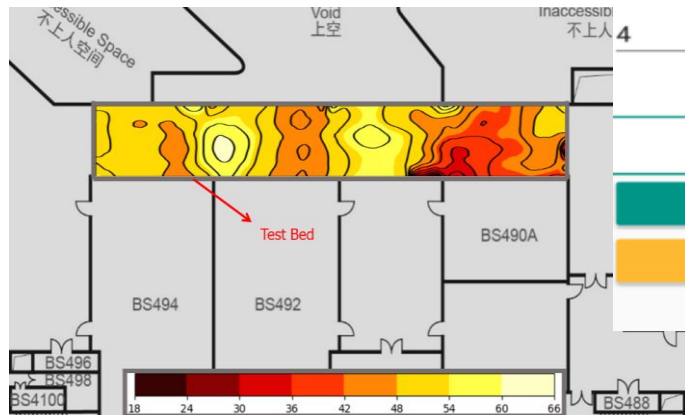


**WiGeoLoc**

GeoX: -41.966    GeoY: -53.142    GeoZ: -33.029  
 OriX: 118.127    OriY: -41.576    OriZ: -16.180

XX 78:11:dc:39:d3:58	-45
XJTLU 00:06:f4:b8:11:20	-46
7a:11:dc:38:d3:58	-46
eduroam 00:06:f4:b8:11:21	-48
eduroam 00:06:f4:b8:12:11	

Total  
**29**  
APs



IBSS    5N

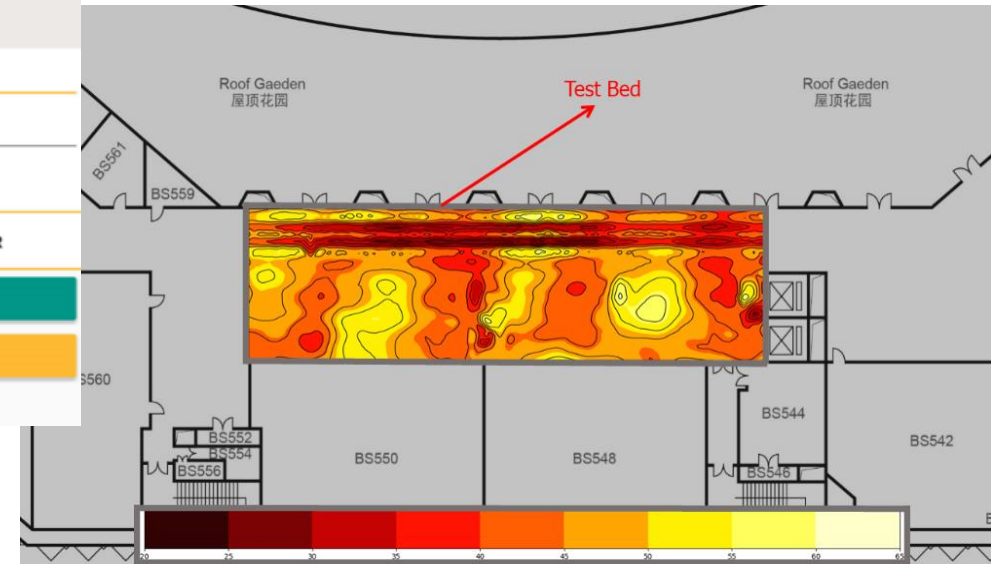
4    3

GEO\_SCAN    GEO\_STOP

WIFI\_SCAN    WIFI\_FILTER

AUTO\_SCAN

UPLOAD

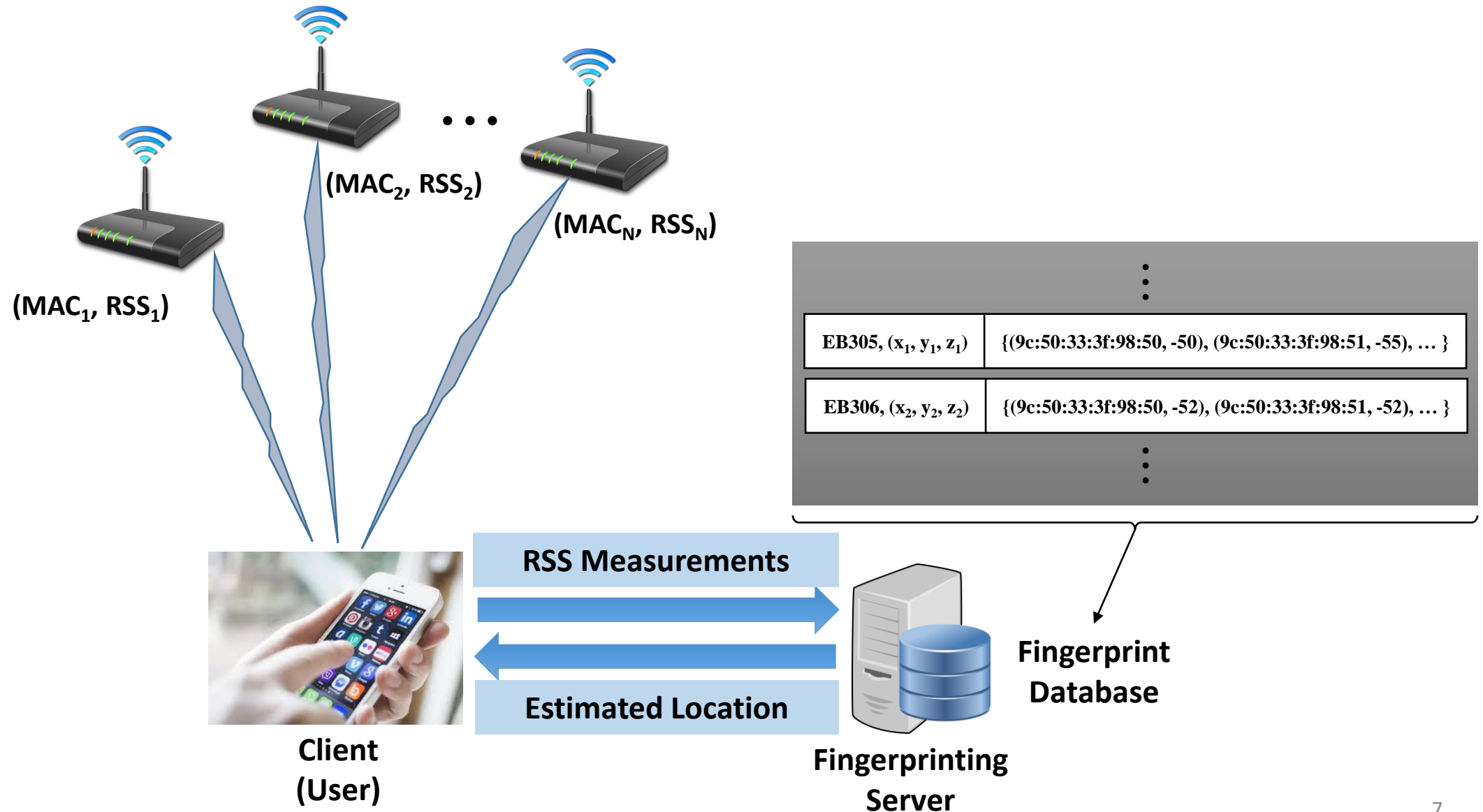


4th Floor of IBSS Building

5th Floor of IBSS Building

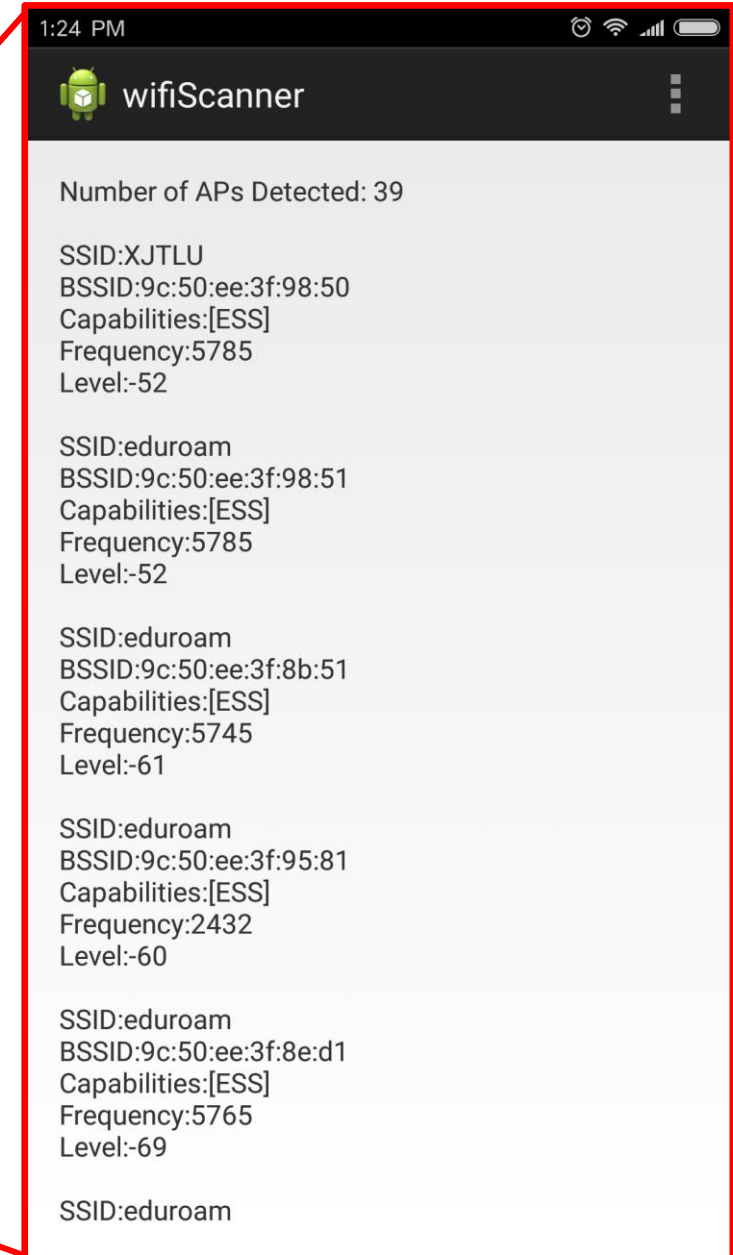
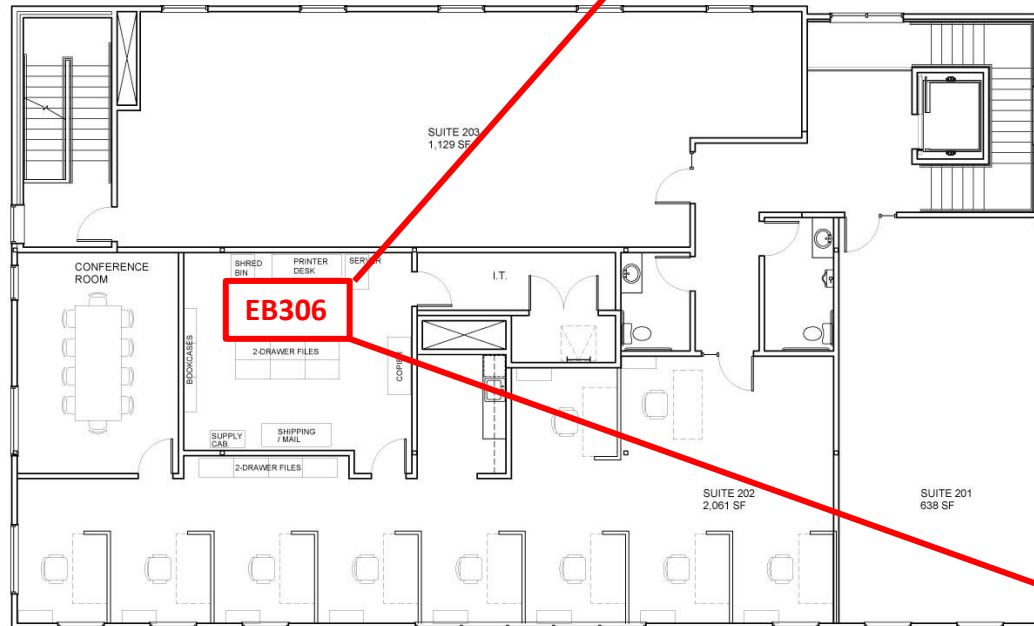
\* Z. Zhong et al., "[XJTLUIndoorLoc: A new fingerprinting database for indoor localization and trajectory estimation based on Wi-Fi RSS and geomagnetic field](#)," Proc. 2018 CANDAR, Takayama, Japan, Nov. 27–30, 2018.

# Indoor Localization based on Wi-Fi Fingerprinting



# Location Fingerprint

- A tuple of  $(\mathcal{L}, \mathcal{F})$ 
  - $\mathcal{L}$ : Location information
    - Geographic coordinates or a label (e.g., “EB306”)
  - $\mathcal{F}$ : Vector/function of *received signal strength Indicators (RSSIs)*
    - e.g.,  $(\rho_1, \dots, \rho_N)^T$  where  $\rho_i$  is the RSSI from  $i_{th}$  access point ( $AP_i$ ).





# Challenges

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Scalability



Long-Term Service



# Major Challenges in Large-Scale Implementation

- ***Scalability***

- Localization models
- Fingerprint DB construction

- ***Localization accuracy***

- ***Non-stationarity*** of location fingerprints

- Incremental/online learning algorithms with pruning/forgetting mechanisms\*

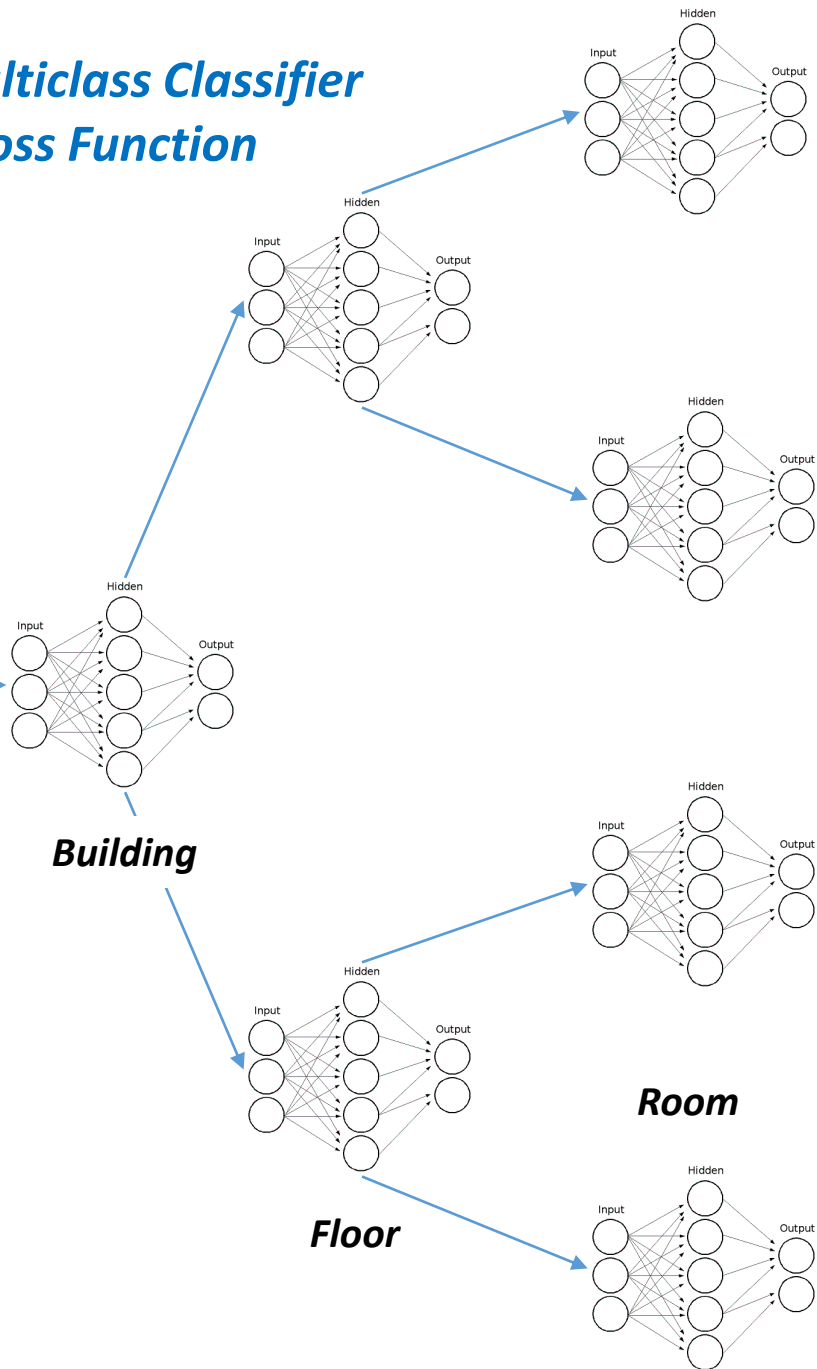
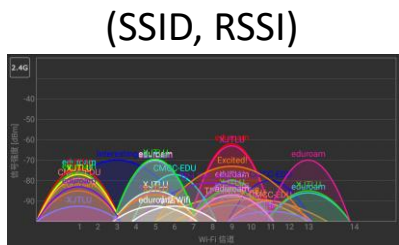
- Passive vs. active location estimation

- Integration with other services

- Security/privacy issues

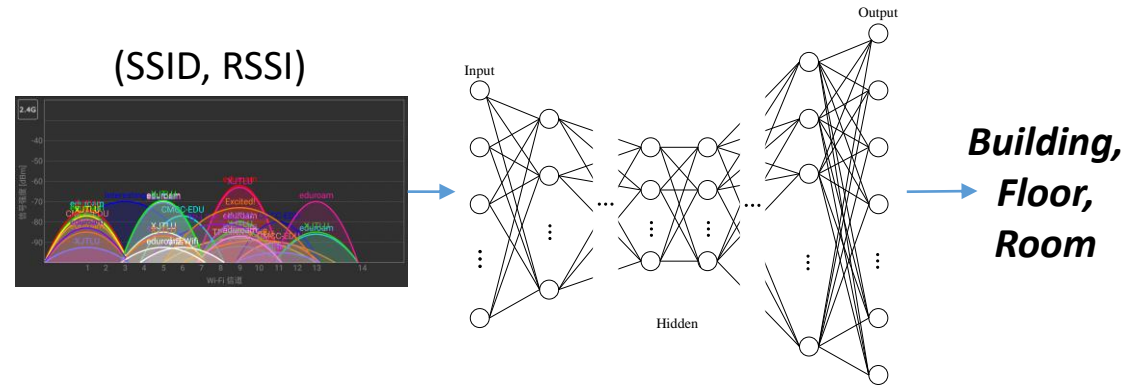
\* R. Elwell and R. Polikar, "[Incremental learning in nonstationary environments with controlled forgetting](#)," Proc. IJCNN'09.

# Hierarchical Multiclass Classifier with Flat Loss Function



# Flat Multiclass Classifier with Hierarchical Loss Function

|| ?



# Scalability

- **Output scalability**

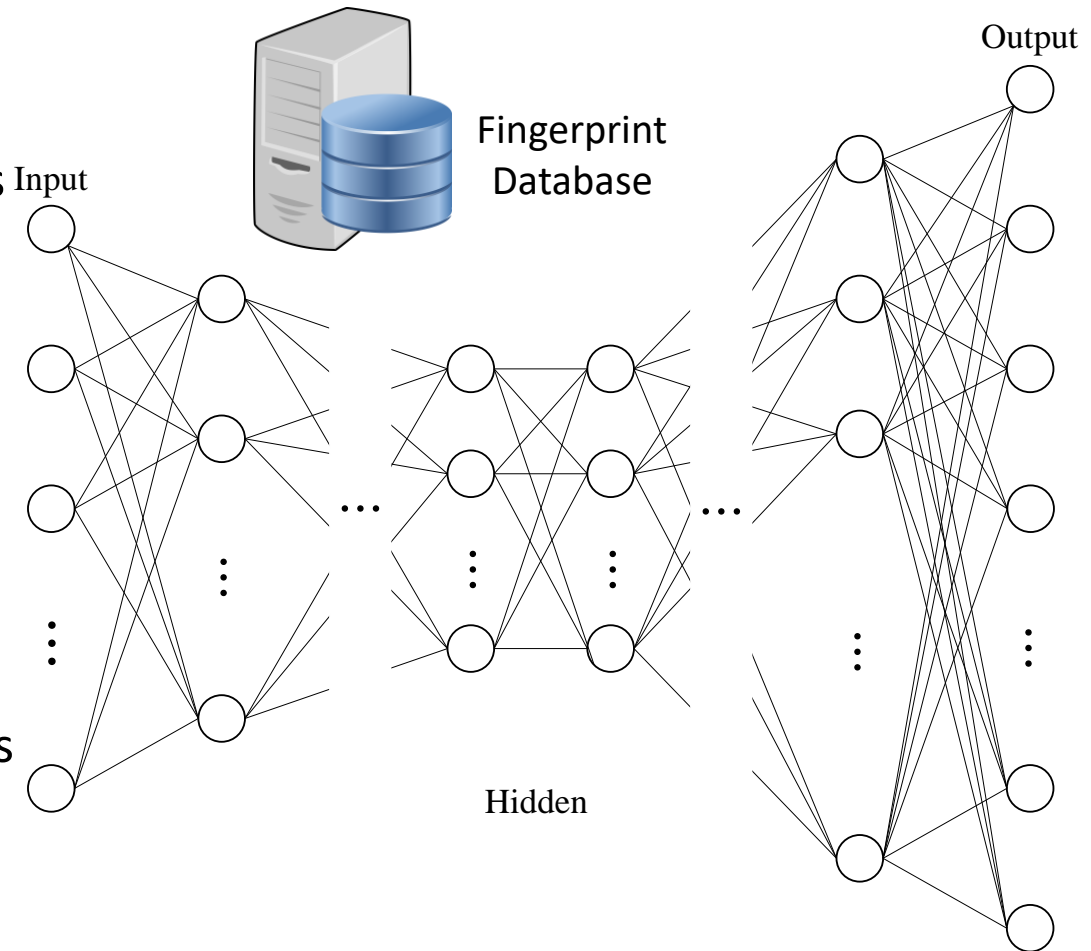
- The number of RPs, which is related to the number of output nodes and the number of trainable parameters of NN models.

- **Data scalability**

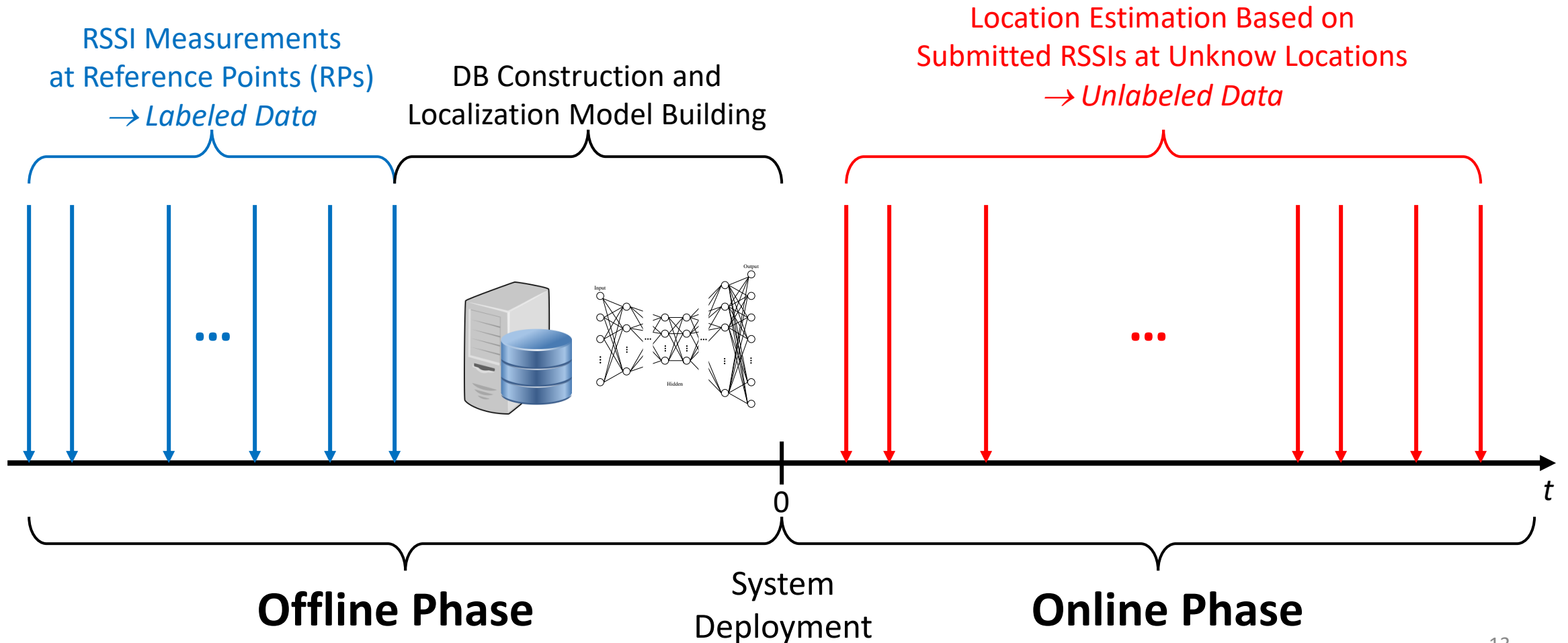
- A large amount of manpower is required for the construction of a large-scale fingerprint database.
  - Even much larger under the current pandemic situations.

- **Input scalability**

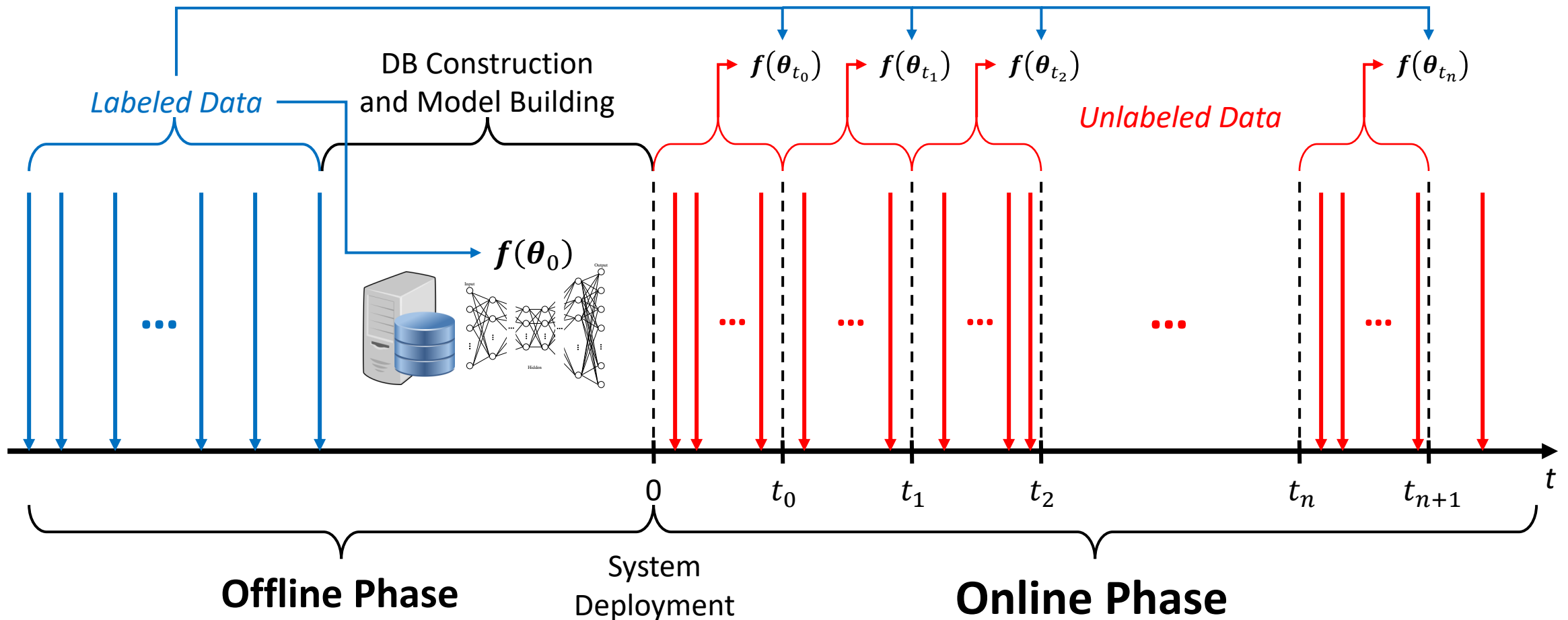
- The dimension of input data (e.g., RSS vector), which is related to the number of input nodes and, again, the number of trainable parameters of NN models.



# Long-Term Service



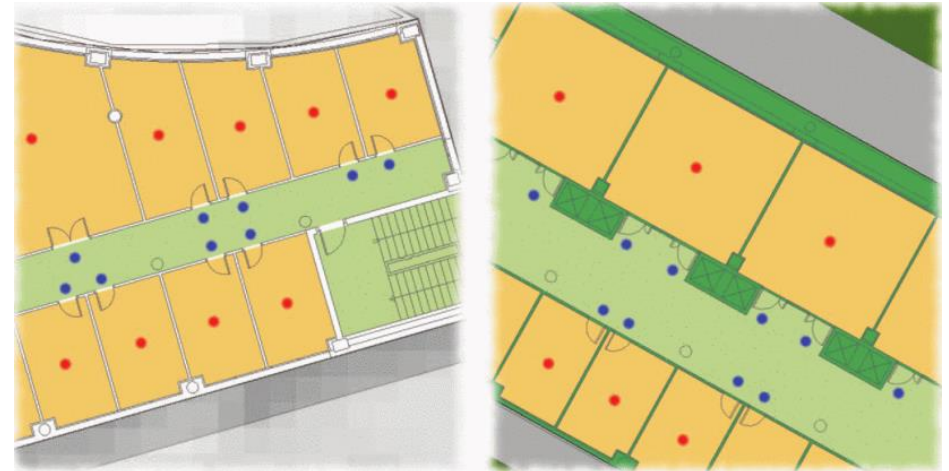
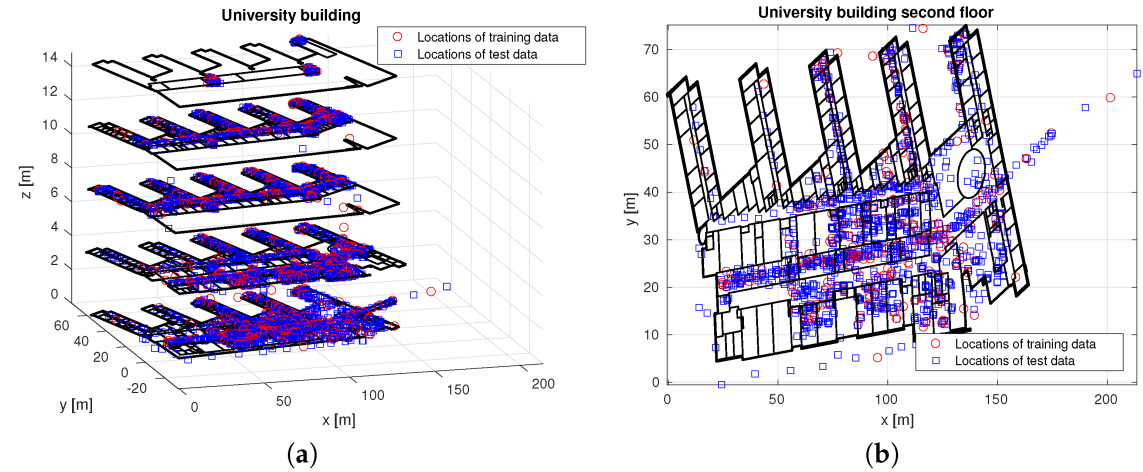
# Investigation of Time Variability of RSSI Fingerprints: Exploiting Unlabeled Data During Online Phase



# Multi-Dimensional Fingerprint Data Augmentation Based on MOGP

# Reasons for Fingerprint Data Augmentation\*

- Uneven spatial distributions of RPs.
  - These could lead to a large difference in positioning accuracy among different buildings and floors.
- Areas that cannot be accessible for measurements.
  - e.g., personal offices, Labs requiring authorization for access.
- High cost of data collection.



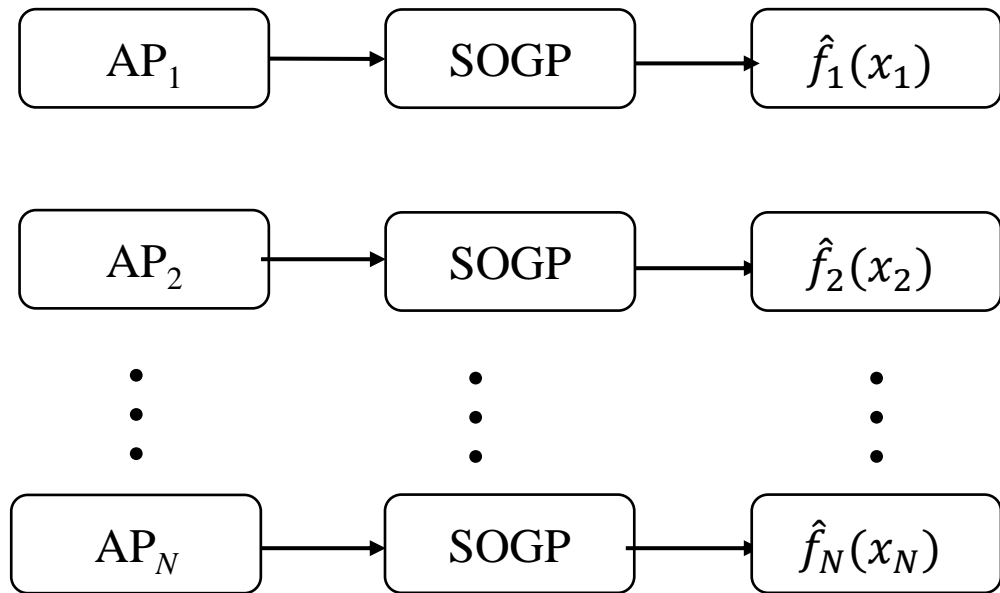
\* Z. Tang, S. Li, K. S. Kim, and J. Smith, "[Multi-output Gaussian process-based data augmentation for multi-building and multi-floor indoor localization](#)," Proc. 2022 ICC Workshops, pp. 361-366, May 2022.



# Neural Network (NN) vs. Gaussian Process (GP)

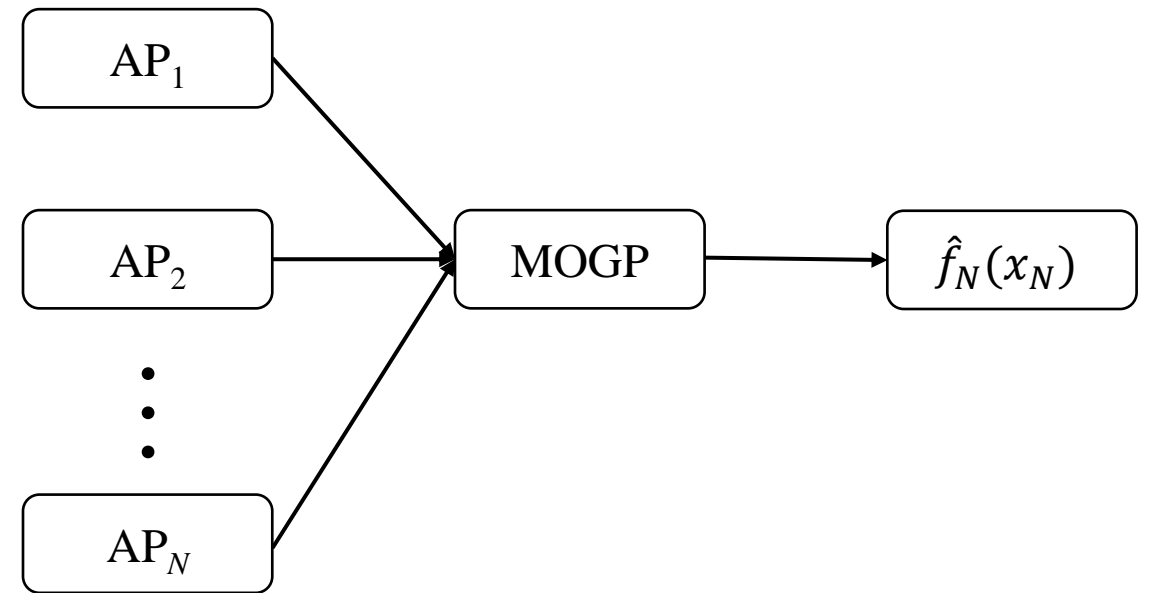
- NNs use **adaptive basis functions** or **hidden units** to learn hidden features of a problem.
- NNs, however, are not so easy to apply in practice due to many decisions like
  - Network architectures,
  - Activation functions,
  - Learning rate, and so on.
- There is the lack of a principled framework to answer these questions, too.
- GPs are mathematically equivalent to or closely related to well known models like
  - Bayesian linear models,
  - Spline models,
  - Large NNs (under suitable conditions),
  - Support vector machines (SVMs).
- GP models are easier to handle and interpret than NN models.
  - The hidden features of a problem could be captured by the **covariance function (kernel)** of GP.

# Fingerprint Data Augmentation Based on GP



SOGP-Based.

**vs.**



MOGP-Based.

# Multi-Output Gaussian Process (MOGP)

- For non sampled regions, GP regression can obtain linear unbiased prediction based on existing data, which is also called *Kriging* in geostatistics.
- MOGP can defined as follows:

$$\mathbf{f}(\mathbf{x}) \sim \text{MOGP}(\mathbf{m}(\mathbf{x}), \mathbf{K}(\mathbf{x}, \mathbf{x}')),$$

- Function output:  $\mathbf{f}(\mathbf{x}) = [f_1(\mathbf{x}), \dots, f_N(\mathbf{x})]^T$ .
- Mean function:  $\mathbf{m}(\mathbf{x}) = [m_1(\mathbf{x}), \dots, m_N(\mathbf{x})]^T$ .
  - Typically set to zero.

- Covariance matrix (extended kernel):  $\mathbf{K}(\mathbf{x}, \mathbf{x}') = \begin{bmatrix} K_{1,1}(\mathbf{x}, \mathbf{x}') & \cdots & K_{1,N}(\mathbf{x}, \mathbf{x}') \\ \vdots & \ddots & \vdots \\ K_{N,1}(\mathbf{x}, \mathbf{x}') & \cdots & K_{N,N}(\mathbf{x}, \mathbf{x}') \end{bmatrix}$ .

# MOGP-Based Fingerprint Augmentation - 1

- Dataset of  $N$ -dimensional RSSI observation at  $M$  reference points:

$$D = (\mathbf{X}, \mathbf{Y}),$$

- Design matrix:  $\mathbf{X} = [\mathbf{x}_1, \dots, \mathbf{x}_M] \in R^{4 \times M}$  with  $\mathbf{x}_i = [B_i, F_i, X_i, Y_i]^T$  where
  - $B_i$  and  $F_i$  are building and floor IDs.
  - $X_i$  and  $Y_i$  are the location coordinates of the  $i$ th reference point.
- Collection of output vectors:  $\mathbf{Y} = [\mathbf{y}_1, \dots, \mathbf{y}_M] \in R^{N \times M}$  with  $\mathbf{y}_i = [RSSI_{i,1}, \dots, RSSI_{i,N}]^T$  where
  - $RSSI_{i,j}$ : RSSI of the  $j$ th AP measured at the  $i$ th reference point.

# MOGP-Based Fingerprint Augmentation - 2

- $N$ -dimensional RSSI observation can be modelled as follows:

$$\mathbf{y} = \mathbf{f}(\mathbf{x}) + \boldsymbol{\epsilon},$$

- i.i.d. Gaussian measurement noise:  $\boldsymbol{\epsilon} \sim \mathcal{N}(\mathbf{0}, \boldsymbol{\Sigma})$ .
- Covariance matrix:  $\boldsymbol{\Sigma} = \text{diag}(\sigma_1^2, \dots, \sigma_N^2)$ .

- Likelihood function:

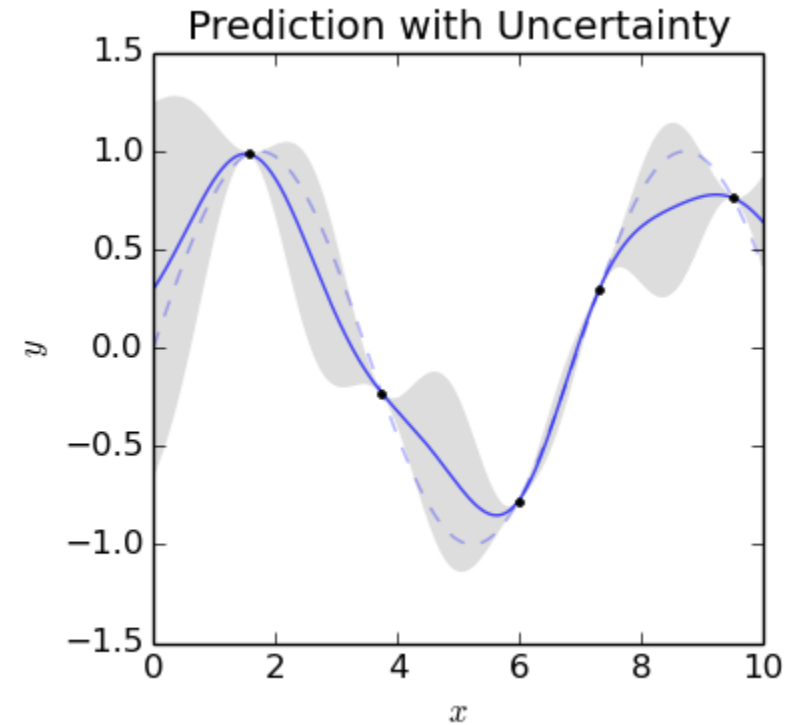
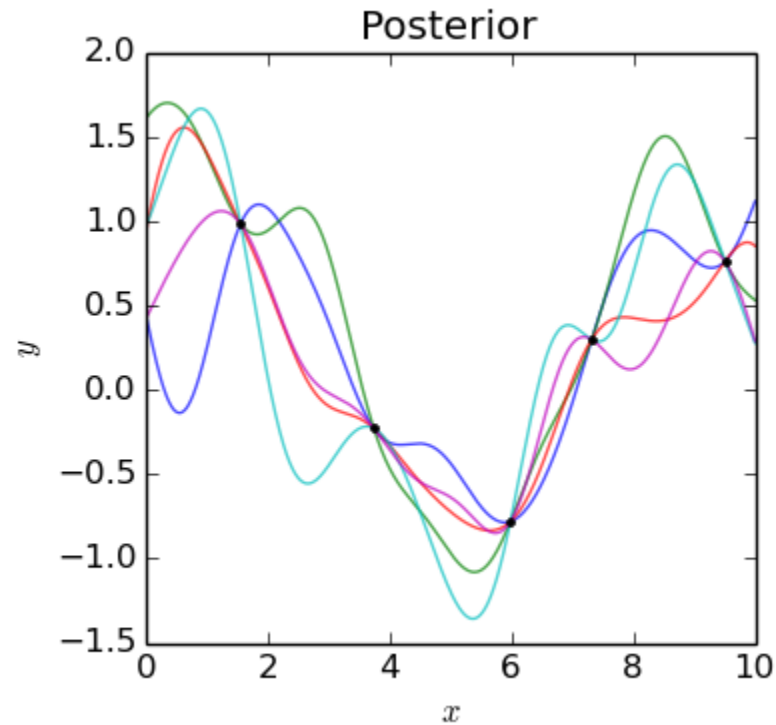
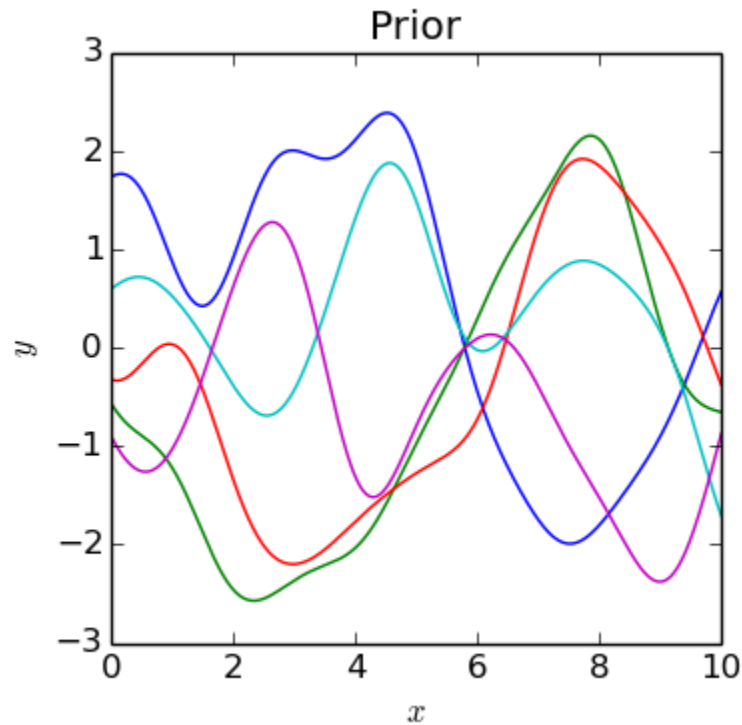
$$\mathcal{L}(\mathbf{x}|\mathbf{y}) = p(\mathbf{y}|\mathbf{f}, \mathbf{x}, \boldsymbol{\Sigma}) = \mathcal{N}(\mathbf{f}(\mathbf{x}), \boldsymbol{\Sigma}).$$

- Posterior distribution of the function value at **a test point**  $\mathbf{x}_*$ :

$$\mathbf{f}(\mathbf{x}_*) | \mathbf{X}, \mathbf{Y}, \mathbf{x}_* \sim \mathcal{N}(\hat{\mathbf{f}}(\mathbf{x}_*), \boldsymbol{\Sigma}_*)$$

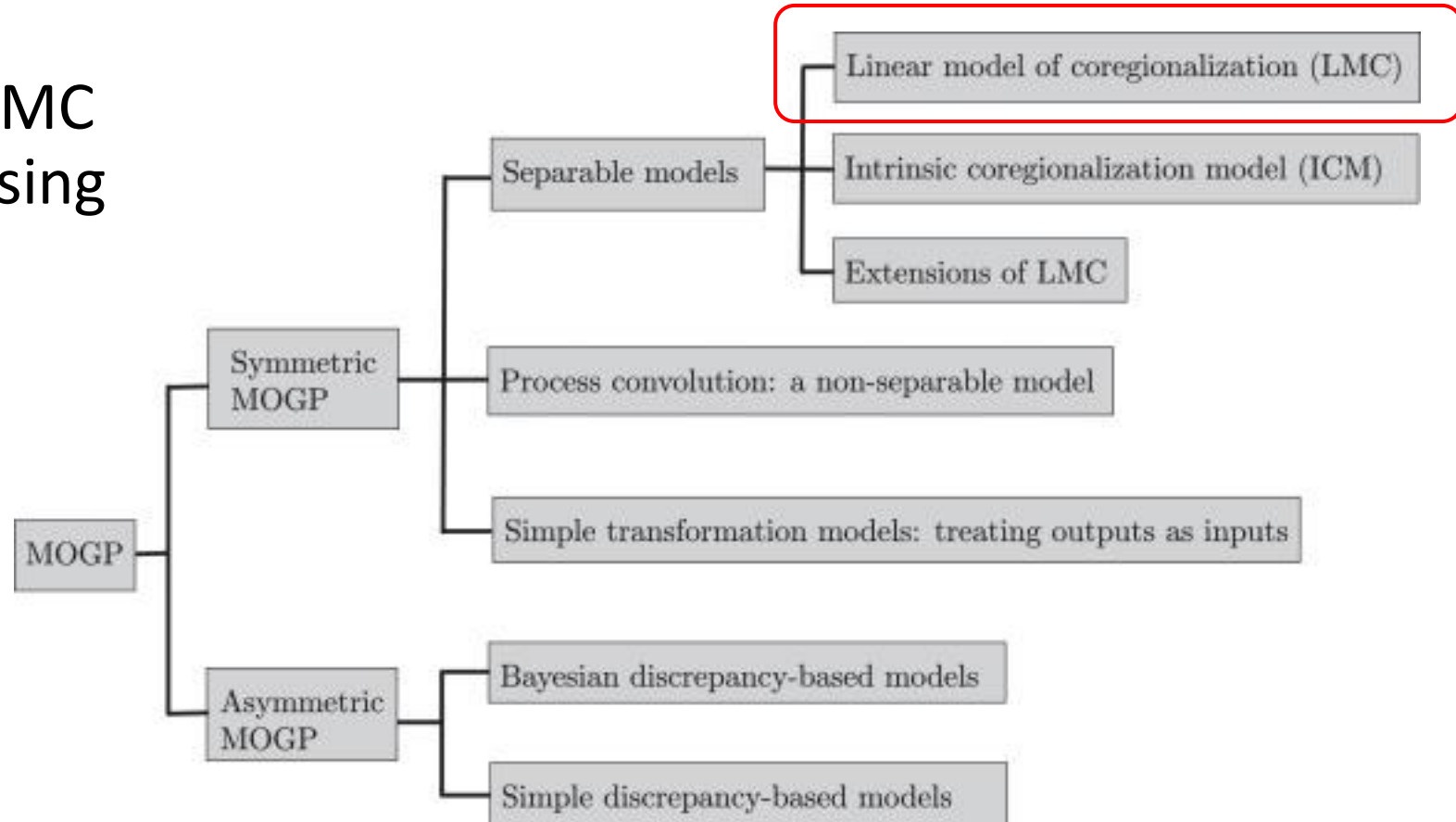
- **Prediction mean**:  $\hat{\mathbf{f}}(\mathbf{x}_*)$ .
- Prediction covariance:  $\boldsymbol{\Sigma}_*$ .
- $(\mathbf{x}_*, \hat{\mathbf{f}}(\mathbf{x}_*))$  is added to the dataset as an augmented fingerprint.

# GP Prediction Example\*



# MOGP Models\*

- Our work is based on the LMC model and implemented using GPy\*\* Python package.



\* H. Liu, J. Cai, and Y.-S. Ong, "Remarks on multi-output gaussian process regression," *Knowledge-Based Systems*, vol. 144, pp. 102–121, 2018.

\*\* GPy - A Gaussian Process (GP) framework in Python: <https://gpy.readthedocs.io/en/deploy/>.

# Kernels - 1

- **Radial basis function**(RBF; also known as Gaussian kernel):

$$k_{RBF}(x, x') = \sigma^2 e^{\left(-\frac{\|x-x'\|^2}{2l^2}\right)}.$$

- **Rational quadratic (RQ) kernel:**

$$k_{RQ}(x, x') = \sigma^2 e^{\left(1 + \frac{\|x-x'\|^2}{2\alpha l^2}\right)^{-\alpha}} \quad \text{for } \alpha > 0.$$



# Kernels - 2

- **Matérn family of kernels:**

$$k_{Matérn}^{\nu}(x, x') = \sigma^2 \frac{2^{1-\nu}}{\Gamma(\nu)} \left( \frac{\sqrt{2\nu}\|x-x'\|}{l} \right)^{\nu} K_{\nu} \left( \sqrt{2\nu}\|x-x'\| \right),$$

- $K_{\nu}$ : Modified Bessel function.
- $\nu = d + \frac{1}{2}$ , where  $d$  is the order of a polynomial function.
- Examples:

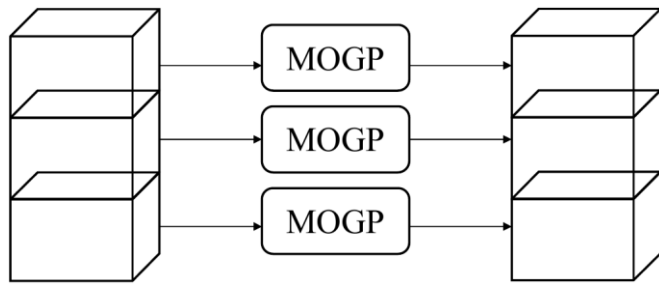
$$k_{Matérn3/2}(x, x') = \sigma^2 \left( 1 + \sqrt{3} \frac{\|x-x'\|}{l} \right) e \left( -\sqrt{3} \frac{\|x-x'\|}{l} \right).$$

$$k_{Matérn5/2}(x, x') = \sigma^2 \left( 1 + \sqrt{5} \frac{\|x-x'\|}{l} + \frac{5\|x-x'\|^2}{3l^2} \right) e \left( -\sqrt{5} \frac{\|x-x'\|}{l} \right).$$

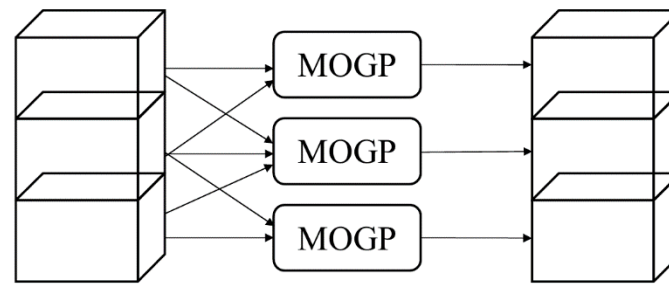
$$k_{Matérn1/2}(x, x') = k_{OU}(x, x') = \sigma^2 e \left( -\frac{\|x-x'\|}{l} \right).$$

- Matern1/2 kernel is also known as **Ornstein-Uhlenbeck (OU) kernel**.

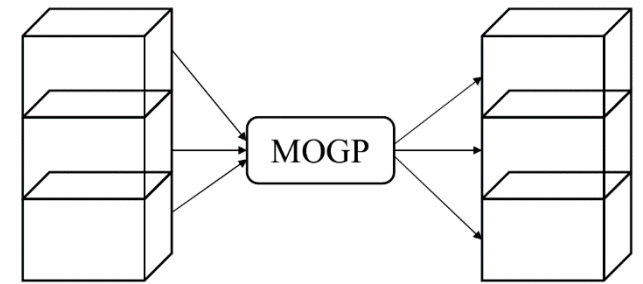
# Data Augmentation Modes



By A Single Floor.



By Neighboring Floors.

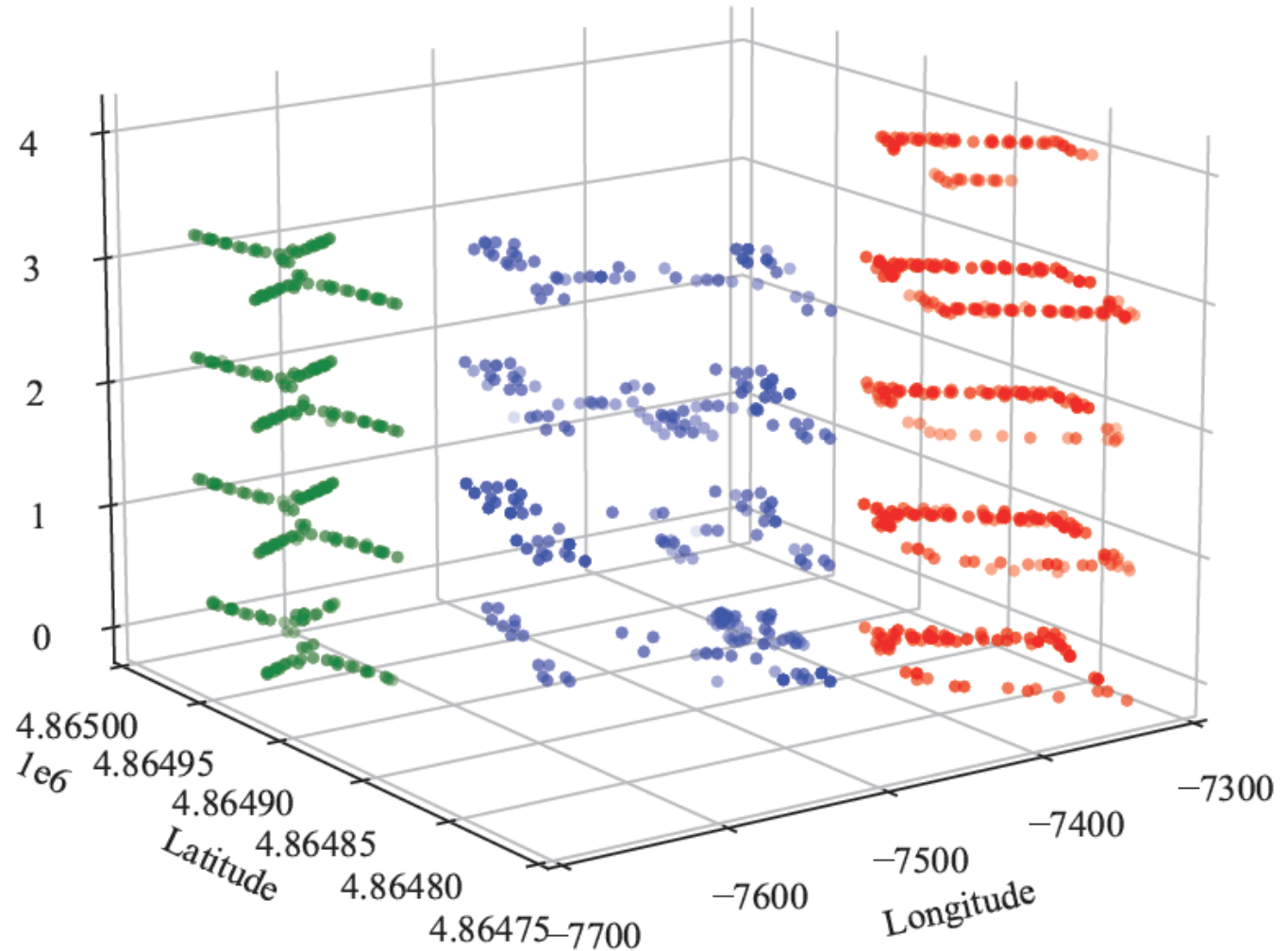


By A Single Building.

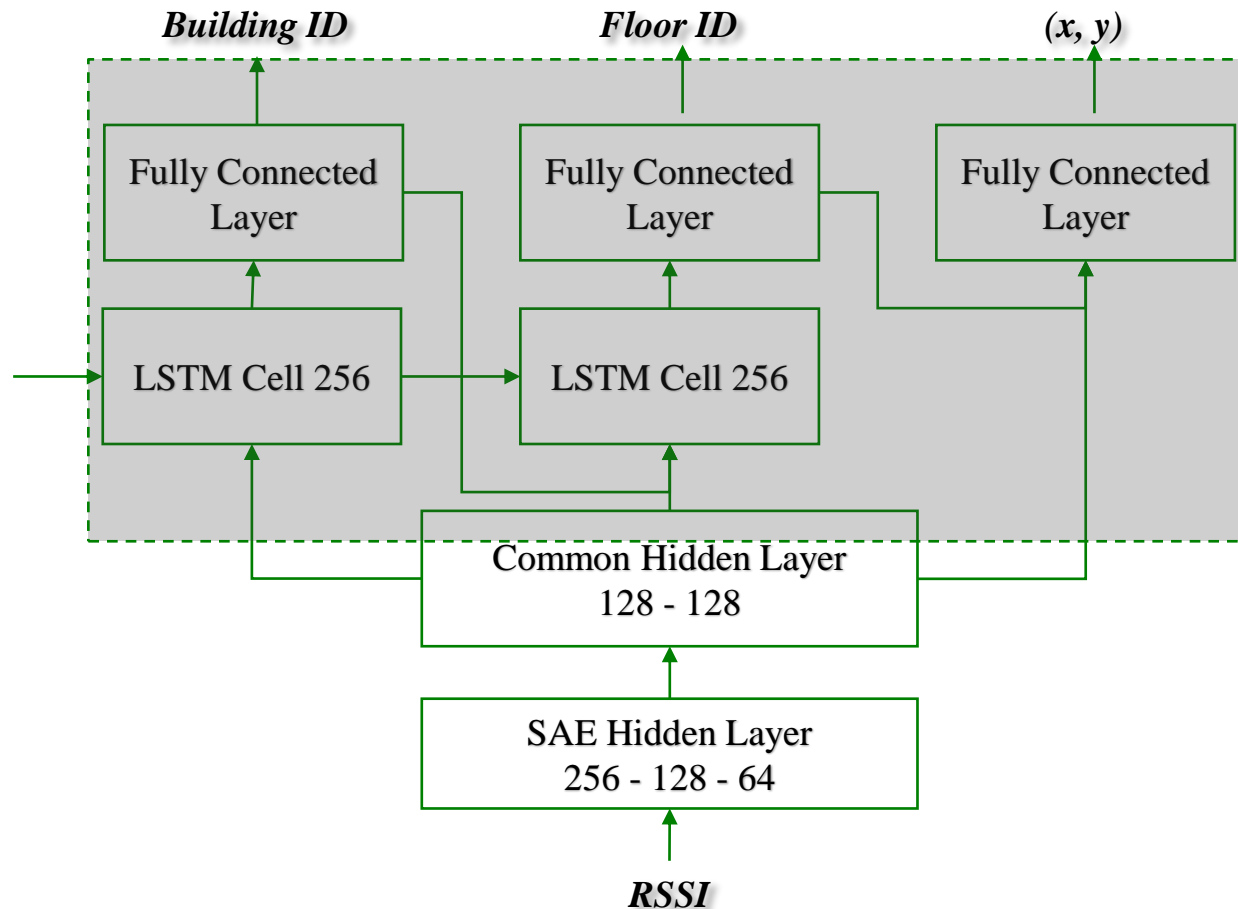
# Experimental Results

# Spatial Distribution of UJIIndoorLoc RPs

- Building 0: Green
- Building 1: Blue
- Building 2: Red



# RNN Structure and Parameters\*

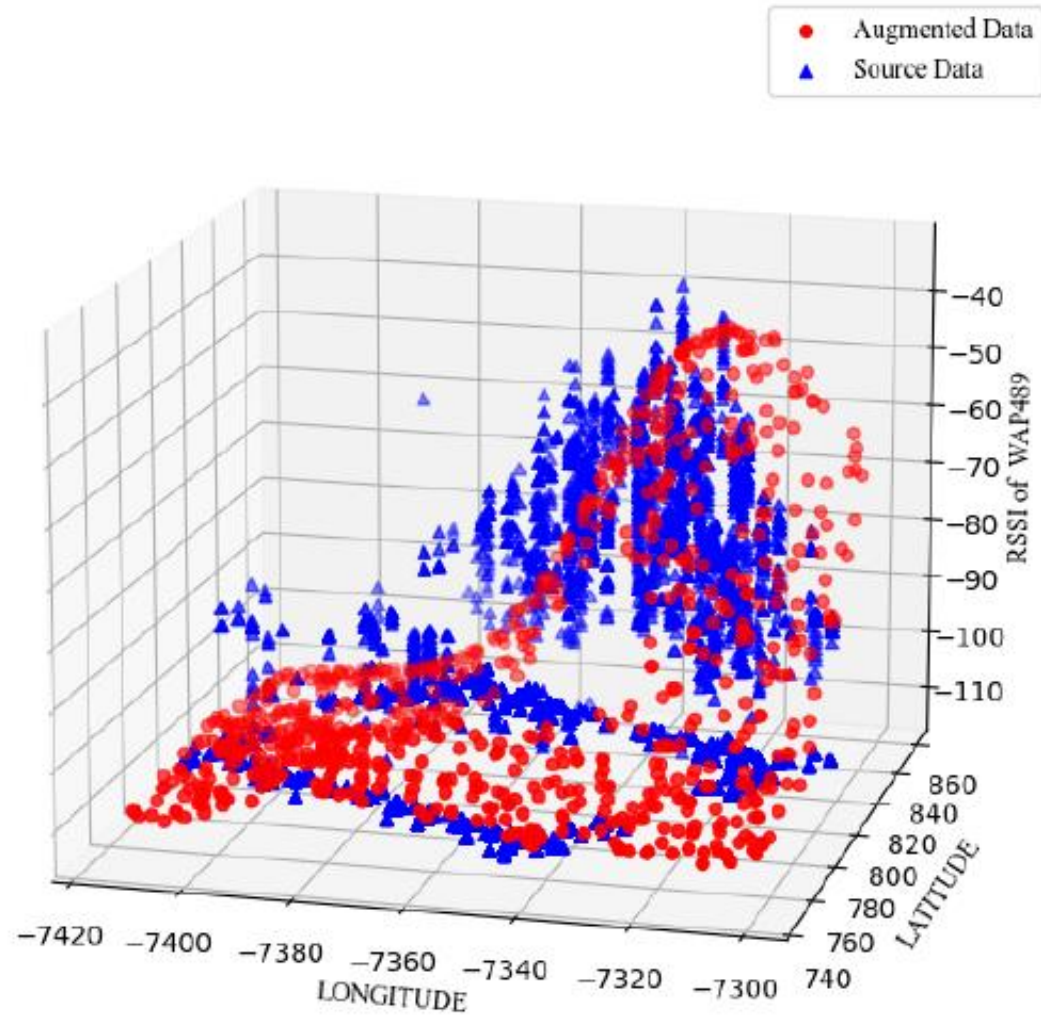


Parameter	Value
SAE Hidden Layers	256-128-64
SAE Activation	ReLu
SAE Optimizer	Adam
SAE Loss	MSE
Common Hidden Layers	128-128
Common Activation	ReLu
Common Dropout	0.2
Common Loss	MSE
LSTM Cells	256-256
LSTM Activation	ReLu
LSTM Optimizer	Adam
LSTM Loss	MSE
BF Classifier Hidden Layers	32-1
BF Classifier Activation	MSE
BF Classifier Optimizer	Adam
BF Classifier Dropout	0.2
BF Classifier Loss	ReLu
Position Hidden Layers	512-512-2
Position Activation	MSE
Position Optimizer	Adam
Position Dropout	0.1
Position Loss	tanh

\* A.E.A. Elesawi and K. S. Kim, "Hierarchical multi-building and multi-floor indoor localization based on recurrent neural networks, Proc. CANDARW 2021, Matsue, Japan, pp. 193–196, Nov. 23–26, 2021. 30

# Original and Augmented RSSIs

- For RSSIs from WAP489 based on the Matérn5/2 kernel.



# Localization Performance Comparison

Localization Scheme	Building Hit Rate [%]	Floor Hit Rate [%]	3D Error [m]
<b>Proposed*</b>	<b>100</b>	94.20	8.42
Hierarchical RNN <sup>1</sup>	<b>100</b>	95.23	8.62
MOSAIC <sup>2</sup>	98.65	93.86	11.64
HFTS <sup>2</sup>	<b>100</b>	<b>96.25</b>	8.49
RTLS@UM <sup>2</sup>	<b>100</b>	93.74	<b>6.20</b>
ICSL <sup>2</sup>	<b>100</b>	86.93	7.67

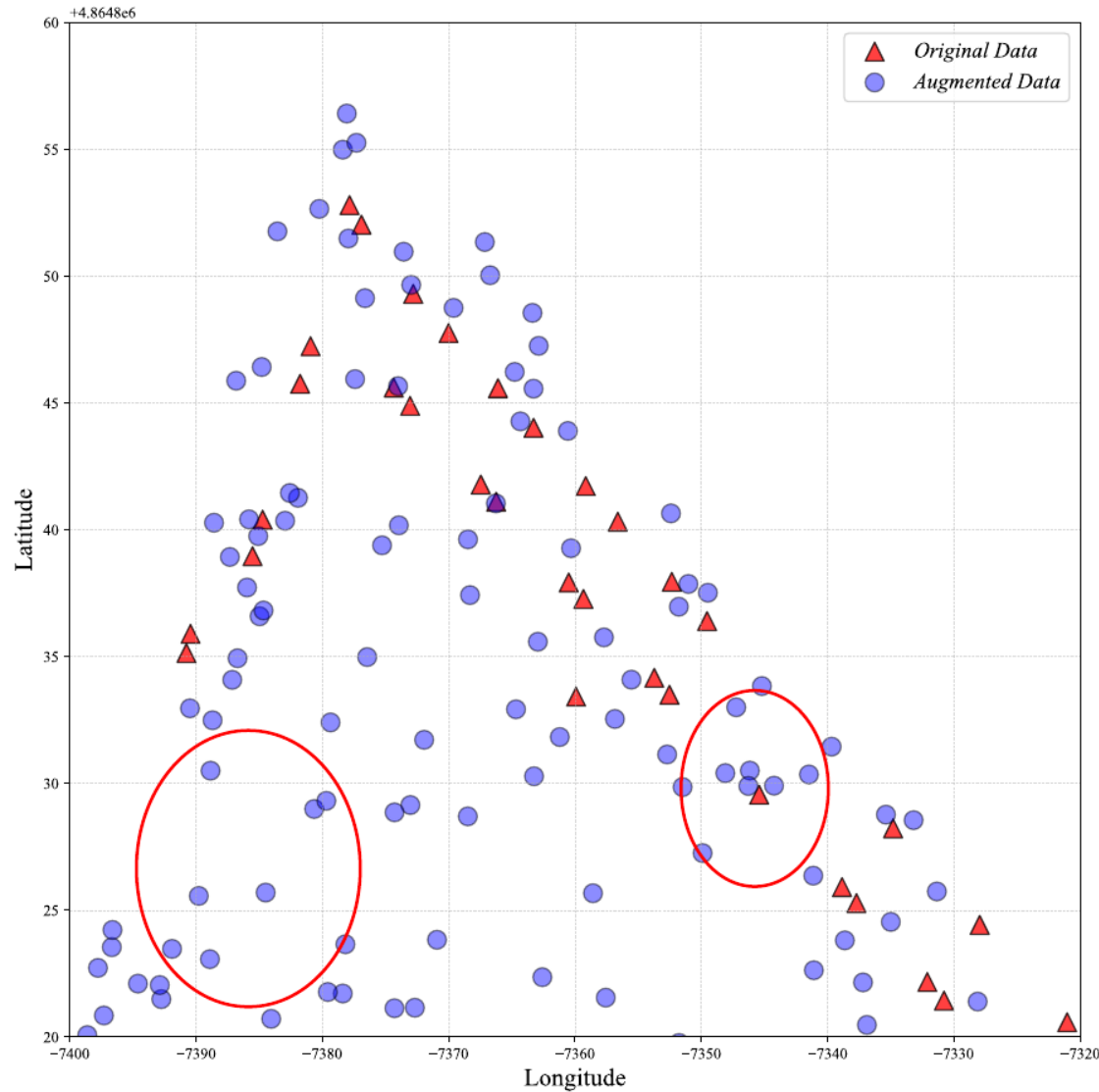
\* Hierarchical RNN<sup>1</sup> and the proposed MOGP-based data augmentation with the following options:

- Data augmentation mode: By a single building
- Augmentation ratio: 1
- Number of latent functions ( $Q$ ):  $N$
- Kernel: Matérn5/2
- Variance ( $\sigma^2$ ): 1
- Length scale ( $l$ ): 10

1. A.E.A. Elesawi and K. S. Kim, Proc. CANDARW 2021, Matsue, Japan, Nov. 2021, pp. 193–196, doi: 10.1109/CANDARW53999.2021.00038. 32

2. A. Moreira et al. Proc. IPIN 2015, Banff, AB, Canada, Oct. 2015, pp. 1-10, doi: 10.1109/IPIN.2015.7346967.

# Spatial Distributions of Original and Augmented RSSIs



- For the corner of the 4th floor of Building 2 of the UJIIndoorLoc DB.
  - The red circles indicate two potential problems of the lack of original RSSI data and insufficient RP coverage.



# Comparison of Data Augmentation Schemes for Indoor Localization

Augmentation Scheme	Model Interpretability	Localization Type	Notes
<b>Proposed</b>	High	Multi-Building	MOGP
s-GAN <sup>1</sup>	Low	Multi-Floor	GAN
DataLoc+ <sup>2</sup>	Low	Single-Floor	Dropout
DL Augmentation <sup>3</sup>	Low	Single-Floor	Deep Learning
CAN <sup>4</sup>	Low	Single-Floor	Conditional Adversarial Networks
DL Approach <sup>5</sup>	Low	Single-Floor	AlexNet
Between-Location <sup>6</sup>	Low	Single-Floor	Between-Class Learning

1. W. Njima et al., *IEEE Access* 2021, 9, 98337–98347, doi: 10.1109/ACCESS.2021.3095546.

2. A. Hilal et al., *Proc. WCNC 2021*, doi: 10.1109/WCNC49053.2021.9417246.

3. R. S. Sinha et al., *Electronics* 2019 8(5), 554, doi: 10.3390/electronics8050554.

4. L. Chen et al., *IEEE Access* 2020, 8, 26975–26983. doi: 10.1109/ACCESS.2020.2971269.

5. L. Xiao et al., *Proc. INTAC 2017*, doi: 10.1109/ATNAC.2017.8215428.

6. M. Sugasaki et al., *IEEE Sensors Journal* 2022, 22, 5407–5416, doi: 10.1109/JSEN.2021.3106765.

# Conclusions and Future Work

# Conclusions

- Proposed MOGP-based multi-dimensional fingerprint data augmentation for indoor localization in a large-scale building complex.
- Investigated the effects of MOGP models, augmentation modes and ratios, and kernels and their hyperparameters on the localization performance through extensive experiments and found the best options as follows:
  - By a single building.
  - $\frac{\text{Number of Augmented Data}}{\text{Number of Original Data}} = 1$ .
  - LMC with  $Q = N$ .
  - Matérn5/2 kernel with  $\sigma^2 = 1$  and  $l = 10$ .

# Future Work

- Extension to other MOGP models.
- Extension to other fingerprint databases.
- Extension from spatial to time domain data augmentation.
  - This will be based on time-varying fingerprint datasets, which we are constructing now on XJTLU campus.